



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/075,666

05/11/98

KUNDO

0230

450100-2780

0222/0624

WILLIAM S FROMMER
FROMMER LAWRENCE AND HAUG
745 FIFTH AVENUE
NEW YORK NY 10151

NOT ASSIGNED

2858

DATE MAILED:

06/24/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Carmenata Robina
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



0220

Sector \$
fdmPATENT
450100-2780.1IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tetsujiro KONDO
Serial No. : 09/075,666
Filed : May 11, 1998
For : DIGITAL DATA CONVERSION EQUIPMENT AND A METHOD
FOR THE SAME
Art Unit : 2858
Examiner : Not Assigned

I hereby certify that this correspondence
is being deposited with the United States
Postal Service as first class mail in an
envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on July 10, 1998

William S. Frommer, Reg. No. 25,506
Name of Applicant, Assignee or Registered
Representative

William S. Frommer
Signature
July 10, 1998
Date of Signature

RESPONSE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing
Parts which issued in the above-referenced reissue application on
June 24, 1998.


The aforementioned Notice asserts that the Assignee's
Statement under 37 C.F.R. 3.73(b) was not filed with this reissue
application. Attached herewith is the requisite statement.

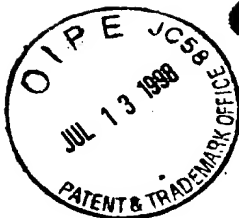
Reconsideration of the requirement for a surcharge fee
of \$130.00 is respectfully requested. The above-mentioned Notice

to File Missing Parts states that said fee is required "if any of items 1 or 3 through 5 [on the Notice] are indicated as missing." None of these items on the Notice is indicated as missing. However, a "Reissue Supplement" was attached to the Notice; but there is no indication on said Reissue Supplement that the filing of the Statement under 37 C.F.R. 3.73(b) must be accompanied by the payment of the \$130.00 surcharge. Accordingly, it is respectfully requested that the requirement for this surcharge be withdrawn or, in the alternative, the Office indicate the appropriate PTO rule of practice that requires said surcharge.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant

By: 
William S. Frommer
Registration No. 25,506
Tel. (212) 588-0800



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

09/075,666 05/11/98 KONDO

Application Number: T 450100-2780.
Application to Paper Number:

0222/0623

WILLIAM S FROMMER
FROMMER LAWRENCE AND HAUG
745 FIFTH AVENUE
NEW YORK NY 10151

NOT ASSIGNED

2858

**REISSUE SUPPLEMENT TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

06/23/98

This **Reissue** Supplement is an attachment to:

- ☐ "Notice to File Missing Parts of Application" Filing Date Granted (PTO-1533)
- ☐ "Notice to File Missing Parts of Application" No Filing Date Granted (PTO-1532)

The item(s) indicated below as missing must be filed within the period for reply to the attached Notice to File Missing Parts of Application to avoid abandonment.

The following items are required to complete the reissue application:

- ☐ Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.
- ☒ Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

Carmenita Robins

Customer Service Center
Initial Patent Examination Division (703) 308-1202